

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: C12N 15/82, 15/11, A01H 5/00

(11) International Publication Number:

WO 98/44138

(43) International Publication Date:

8 October 1998 (08.10.98)

(21) International Application Number:

PCT/GB98/00939

A1

(22) International Filing Date:

27 March 1998 (27.03.98)

(30) Priority Data:

9706381.2

27 March 1997 (27.03.97)

GB

(71) Applicant: ADVANCED TECHNOLOGIES (CAMBRIDGE) LIMITED [GB/GB]; Millbank, Knowle Green, Staines, Middlesex TW18 1DY (GB).

(72) Inventors: O'REILLY, David; Silverlee, 13 Boldrewood Road, Bassett, Southampton SO16 7BW (GB). THOMAS, Christopher, John, Robert; 7 The Oakes, Milton, Cambridge CB4 6ZG (GB).

MACLEAN, Kenneth, John, Hamson et al.; (74) Agents: British-American Tobacco Company Limited, R & D Centre, Regents Park Road, Southampton SO15 8TL (GB).

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published

With international search report.

With an indication in relation to deposited biological material furnished under Rule 13bis separately from the description.

(54) Title: IMPROVEMENTS RELATING TO THE SPECIFICITY OF GENE EXPRESSION

(57) Abstract

This invention seeks to improve the specificity of gene expression by targeting a specific expression site of a target gene. There is thus provided a chimaeric gene which comprises a promoter which expresses in more than one region of the organism to be affected. The promoter is linked to an agent which affects the functioning of an endogenous gene in the plant which is also expressed in more than one region of the plant. The promoter and agent are selected so that there is an overlap in their expression sites at one or more desired locations. This overlap site(s) gives increased specificity and targeting of gene expression.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL Albania ES Spain LS Lesotho SI Slovenia AM Armenia FI Finland LT Lithuania SK Slovenia AT Austria FR France LU Luxembourg SN Senegal AU Australia GA Gabon LV Latvia SZ Swaziland AZ Azerbaijan GB United Kingdom MC Monaco TD Chad AZ Azerbaijan GB United Kingdom MC Monaco TD Chad BA Bosnia and Herzegovina GE Georgia MD Republic of Moldova TG Togo BB Barbados GH Ghana MG Madagascar TJ Tajikistan BB Belgium GN Guinea MK The former Yugoslav TM Turkmenistan BF Burkina Faso GR Greece Republic of Macedonia TR Turkey BG Bulgaria HU Hungary ML Mali	
AM Armenia AT Austria FR France LU Luxembourg SN Senegal AU Australia GA Gabon LV Larvia SZ Swaziland AZ Azerbaijan GB United Kingdom MC Monaco TD Chad BA Bosnia and Herzegovina GE Georgia MD Republic of Moldova TG Togo BB Barbados GH Ghana MG Madagascar BB Belgium GN Guinea MK The former Yugoslav TM Turkmenistan BF Burkina Faso GR Greece Republic of Macedonia TR Turkey BG Bulgaria HU Hungary ML Mali TT Trinidad and T	
AT Austria FR France Low Latvia SZ Swaziland AU Australia GA Gabon LV Latvia SZ Swaziland AZ Azerbaijan GB United Kingdom MC Monaco TD Chad BA Bosnia and Herzegovina GE Georgia MD Republic of Moldova TG Togo BB Barbados GH Ghana MG Madagascar TJ Tajikistan BE Belgium GN Guinea MK The former Yugoslav TM Turkmenistan BF Burkina Faso GR Greece Republic of Macedonia TR Turkcy BC Bulgaria HU Hungary ML Mali TT Trinidad and T	
AU Australia GA Gabon AZ Azerbaijan GB United Kingdom MC Monaco TD Chad BA Bosnia and Herzegovina GE Georgia MD Republic of Moldova TG Togo BB Barbados GH Ghana MG Madagascar TJ Tajikistan BE Belgium GN Guinea MK The former Yugoslav TM Turkmenistan BF Burkina Faso GR Greece Republic of Macedonia TR Turkcy BC Bulgaria HU Hungary ML Mali TT Trinidad and T	
AZ Azerbaijan GB United Kingdom MD Republic of Moldova TG Togo BA Bosnia and Herzegovina GE Georgia MD Republic of Moldova TG Togo BB Barbados GH Ghana MG Madagascar TJ Tajikistan BE Belgium GN Guinea MK The former Yugoslav TM Turkmenistan BF Burkina Faso GR Greece Republic of Macedonia TR Turkey BC Bulgaria HU Hungary ML Mali TT Trinidad and T	
BA Bosnia and Herzegovina GE Georgia MD Republic of Madegascar TJ Tajikistan BB Barbados GH Ghana MG Madagascar TJ Tajikistan BE Belgium GN Guinea MK The former Yugoslav TM Turkmenistan BF Burkina Faso GR Greece Republic of Macedonia TR Turkey BC Bulgaria HU Hungary ML Mali TT Trinidad and T	
BB Barbados GR Chiana BE Belgium GN Guinea MK The former Yugoslav TM Turkmenistan BF Burkina Faso GR Greece Republic of Macedonia TR Turkey BC Bulgaria HU Hungary ML Mali TT Trinidad and T	
BE Belgium GN Gunea Republic of Macedonia TR Turkey BF Burkina Faso GR Greece Republic of Macedonia TT Trinidad and T BC Bulgaria HU Hungary ML Mali TT Trinidad and T	
BF Burkina Faso GR Greece Republic TT Trinidad and T	
1 RC Rulgaria HU nungary - 1725 - 17421	
	ooago
B.1 Benin IE Ireland MN Mongolia OA Okraine	
BR Brazil IL Israel MR Mauritania DG Uganda	
PV Palany IS Iceland MW Malawi US United States of	America
CA Consider IT Italy MX Mexico UZ Uzbekistan	
CF Central African Republic IP Japan NE Niger VN Vict Nam	
CC Congo KR Kenya NL Netherlands YU Yugoslavia	
CH Switzerland KG Kyrgyzstan NO Norway ZW Zimbabwe	
CI Côte d'Ivoire KP Democratic People's NZ New Zealand	
CM Cameroon Republic of Korea PL Poland	
CN China KR Republic of Korea PT Portugal	
CU Cuba KZ Kazakstan RO Romania	
CU CHOA	
CZ Czech Republic	
DE Gennary	
DR Definal R	
EE Estonia LR Liberia SG Singapore	

5

IMPROVEMENTS RELATING TO THE SPECIFICITY OF GENE EXPRESSION

This invention relates to a method for increasing the specificity of gene expression. In particular, the invention relates to the use of a chimaeric gene to generate a highly specific targeting effect as a mode of providing, for example but not exclusively, a targeted resistance to disease-causing agents in plants.

For the purpose of this invention it is useful to describe in a simplified manner how a gene is constructed and how it functions (see Figure 1 which shows the structure of a gene, which gene may also be a chimaeric gene). A gene can be considered to consist of three components (the numbers refer to the numbers in Figure 1); 1. a promoter (P) which determines when and where a coding sequence is transcribed, a coding sequence (CS) for the production of a protein and a regulatory sequence (3') that can sometimes also affect the transcription of the coding sequence. The 3 ' regulatory sequence is commonly known as a terminator. 2. A gene is expressed when the promoter permits the transcription and processing (10) of a working copy of the coding sequence to produce a messenger RNA (mRNA). 3. The mRNA is then translated (11) to give a protein product. 4. The protein product can then interact with a substrate or another protein or a regulatory sequence to cause an effect (E).

However, it should be noted that regulation of the expression of a gene can be affected at each stage of the expression process described above. Factors can act on the

promoter, on the transcription machinery to produce the mRNA and on processes that modify the mRNA or affect its stability. Factors can also act on the translation of the protein and on the turnover of the protein within the cell. Other factors can influence how the protein interacts with other components and achieves its effect.

To explain the inventive concept, consider the following genes, gene 1 and gene 2 in a plant. Gene 1 is active in tissues A and B of a plant, whilst gene 2 is active in regions B and C of a plant. The activities of the two genes overlap in region B and this can be described visually as in Figure 2, which is in the form of a Venn diagram. From this Figure it is apparent that the site of joint expression is more limited or, in other words, more specific than the sites of expression of either gene on their own.

Thus in this invention, in respect of plants, the chimaeric gene contains a promoter which expresses in more than one region of the plant. The promoter is linked to an agent. The agent will affect the functioning of an endogenous gene which is also expressed in more than one region of the plant. The areas in which the promoter and the endogenous gene to be affected are active are not identical but they do overlap at one or more desired locations. When the chimaeric gene is transferred into a plant, the agent will only have an effect on the target endogenous gene at the location(s) of overlap.

There are several ways to exemplify the invention, of which increased tolerance or resistance to plant parasitic nematodes is a practical example. Although we have used cell

disruption and male sterility as examples, the system can also be used for the enhancement of a gene at a particular site.

Several different mechanisms have been proposed to obtain cell specific disruption. The simplest method utilises a chimaeric gene comprising a promoter specific to the targeted tissue linked to a disruptive system. Even specific promoters, however, may express to a lesser degree in tissues other than those targeted, which is sometimes undesirable.

Other applications have attempted to circumvent this problem by utilising two constructs, the first construct containing a chimaeric gene comprising a tissue specific promoter linked to a cell necrosis system (e.g. barnase) and the second construct containing a chimaeric gene comprising a promoter active in regions other than the one targeted, the promoter being linked to a protectant (e.g. barstar) which inactivates the necrosis system. The necrosis in tissues other than the one desired is therefore suppressed by the protectant (see International Patent Application Nos. W092/21757 (Plant Genetic Systems N.V.) and WO 93/10251 (Mogen International N.V.)).

This invention can provide, for example, a highly specific cell disruption system using a single construct. Other cell regulation systems to which the invention is applicable will be known to the skilled man.

It is an object of the invention to provide a site specific expression system or targeting system which is generally applicable to any organism which has genes expressed

5

in different regions but where their sphere of expression overlaps.

It is also an object of the invention to achieve increased specificity using only one construct comprising a chimaeric gene comprising two or more nucleic acid sequences, which construct is designed to interact with an endogenous gene in an organism.

The present invention provides a method of improving the specificity of gene regulation in a transformed organism, the method comprising the steps of identifying an endogenous target gene in an organism, determining the location of more than one expression sites of the target gene, creating a chimaeric gene comprising a promoter which causes gene expression at at least two expression sites in an organism, including expression at one of the expression sites of the target gene, and an agent being a nucleic acid sequence which regulates expression of the target gene or a product thereof, stably incorporating the chimaeric gene into a cell of the organism by genetic transformation, and regenerating an organism from the transformed cell, which transformed organism contains the chimaeric gene, the expression of which gene in the organism causes the target gene or a product thereof to be regulated at least one specific expression site in the transformed organism or progeny thereof.

Preferably the nucleic acid sequence is a coding or a noncoding sequence.

Preferably the expression of the target gene can be up regulated or down regulated.

Preferably the organism is a plant, whereby the transformed plant or propagules thereof contain the chimaeric gene.

The mechanism whereby the agent acts on a gene could belong to any one of the following. The list should not be considered to be exclusive.

- 1. antisense.
- 2. cosuppression.
- 3. inhibition or activation of the promoter of a target gene.
- inhibition or activation of transcription.
- 5. alteration of messenger RNA stability or degradation of mRNA.
- 6. inhibition or activation of translation.
- 7. inhibition or activation of a protein
- 8. alteration of protein turnover.
- 9. acting as a cofactor.
- 10. alteration of protein-protein interactions.
- 11. alteration of the flux through a biochemical pathway.

Some examples of these mechanisms are discussed briefly below. It should be borne in mind that the particular mechanism to be selected to achieve gene regulation will also require a certain level of site specific expression in order to be effective in the inventive concept.

Down regulation may advantageously be achieved by the agent of the chimaeric gene being a nucleic acid sequence which is the antisense orientation of the whole or part of the promoter or a coding or non-coding sequence of the target gene.

Alternatively, down regulation may be achieved by cosuppression of the promoter or coding or non-coding sequence of the target gene.

Up regulation of the target gene may be achieved, for example, by introducing an activator of the promoter of the target gene.

Combinations of these technologies may also be used.

Other suitable methods of regulating gene expression of the target gene will be known to those skilled in the art.

The agent of the chimaeric gene may comprise one or more nucleic acid sequences, each of which sequences, when expressed, carries out a particular function. There may thus be obtained specificity of expression of more than one endogenous gene using only one promoter.

A construct can contain two separate chimaeric genes as expression cassettes, each chimaeric comprising gene promoter, a coding sequence for an agent and a terminator. Each chimaeric gene acts on a different endogenous gene, which gene may be expressed at the same target site or a different There is thus the possibility of knocking out or site. increasing several components or target genes in a sequence of events, such as a particular biosynthesis chain, over a period of time. In other words, a temporal chain of events can be Alternatively, each chimaeric gene could transferred into the organism in two separate constructs, each expression cassette, one construct containing one chimaeric gene.

7

The nucleic acid sequence may be a DNA sequence or an RNA sequence.

The promoter of the chimaeric gene may be expressed in more than one overlapping expression site of the endogenous gene.

Advantageously the promoter is a nematode-inducible promoter, such as the promoter known herein as the KNT1 promoter or the RB7 promoter. Other promoters which are caused to express by other agents acting on them at other attacked or growth sites may be utilised depending on the site specific expression regulation to be achieved.

Advantageously the nucleic acid sequence is the or a part of the antisense sequence of the RB7 or KNT1 promoter or coding sequence thereof.

Alternatively the nucleic acid sequence may be a ribozyme or a targeted RNase to degrade a messenger RNA in order to effect, for example, mechanism 5 of the list of mechanisms above. Also, specific RNA's can be stabilised or destabilised by specific nutrients, e.g. iron in the case of the mRNA for the cell surface protein receptor for transferring; or ligands, hormones and translation products, e.g. the effect of tubulin protein dimer on tubulin mRNA. Selection of nutrients, ligands, hormones or translation products expressed or required at certain locations is desirable for the inventive concept.

An example of an activator of transcription is the heat shock factor of *Drosophila* which encodes a protein free in the cell. Upon heat shock, the heat shock gene factor binds to the promoter of the heat shock protein HSP70 and leads to increased

transcription. Heat shock proteins are found in bacteria, animals and plants. Activators suitable for use in the inventive concept which are site specific can be selected by the skilled man to achieve mechanism 4.

Suitable cofactors for effecting mechanism 9 in an organism include vitamins such as pantothenic acid and vitamin B6.

Finally, mechanism 10 could be effected by introducing, for example, the protein cAMP-dependent protein kinase into an organism. The cAMP-dependent protein kinase acts upon the the enzyme glycogen synthase by phosphorylating it. The glycogen synthase is turned into a less active form and glycogen synthesis is inhibited.

The invention may also utilise a number of constructs, each promoter-gene fragment of the chimaeric gene of each construct having an overlap at the same target expression site as each of the other promoter-gene fragments, so that there is provided multiple overlap at the selected single expression site to further enhance the specificity of the system. The other expression site of the chimaeric gene may be the same as or different from the other expression sites of the other promoter-gene fragments.

In order that the invention may be easily understood and readily carried into effect, reference will now be made, by way of example, to the following figure and example in which:

Figure 2 shows the overlap of the expression sites of the two separate genes when they are in the same plant,

Figure 3 shows the map for vector pATC37010 used to transform plants in accordance with the invention,

Figure 4 shows the map for vector pATC37003, a control vector used to transform plants, and

Figure 5 shows the map for pATC into which SEQ.ID.No.5 and SEQ.ID.No.6 were ligated to produce the vectors pATC37010 and pATC37003 of Figures 3 and 4 respectively.

Cell specific disruption at site B in Figure 2 can be achieved as follows. The promoter which regulates the specificity of expression from gene 1 can be linked to a region which will disrupt the activity of gene 2 in a construct. When the construct is introduced into plants, the agent disruptive of gene 2 will be expressed in regions A and B. There will be no effect at site A because gene 2 is not active here. There will be no effect at site C because the promoter of the construct is not active there and hence no disruptive agent for gene 2 is produced. There will be disruption of gene 2 at site B as the disruptive agent for gene 2 is present and gene 2 is also active.

EXAMPLE 1

Engineering increased tolerance or resistance to plant parasitic nematodes using Venn constructs.

Plant parasitic nematodes such as the root knot nematodes and cyst nematodes cause 7 to 14% losses in crop yield world wide. The nematodes act by penetrating plant roots and generating unique feeding sites through which they derive their

WO 98/44138 PCT/GB98/00939

10

nutrients. The feeding sites are altered plant cells, either giant multinucleate cells in the case of root knot nematodes, or syncitia of several cells fused together in the case of cyst The nematodes become sessile and are totally dependent on the feeding sites for nutrients. Our U.S. Patent 5.589.622 describes a general way of making plants resistant by linking feeding cell specific promoters to cell death or cell disruption systems to disrupt the feeding cells. The feeding cells are impaired in their function so the nematode starves or has a reduced food supply and is unable or less able to grow and to produce offspring. This method is an example of the simplest method of cell specific disruption described above. Other patents which utilise this principle are those which create sterility in a plant, for example, International Patent Application No. WO 89/10396 (Plant Genetic Systems N.V.)

Promoter KNT1 which is expressed in feeding cells, root tips and to a lesser extent in other meristems was identified. Other workers have identified a gene, RB7 expressed in roots and giant cells (Conkling et al 1990, Opperman et al 1993). Our studies with the RB7 promoter linked to the marker gene GUS suggest that the RB7 gene is expressed in the body of the root and not at the root tip. A Venn construct containing the promoter to KNT1 linked to a partial antisense sequence of RB7 coding sequence and a nos terminator in a pBIN19 (Bevan, M. transformation containing vector derived plant 1984) Agrobacterium tumefaciens C58 was made. The construct was labelled pBIN05002 and was deposited by Advanced Technologies

WO 98/44138

(Cambridge) Ltd of 210 Cambridge Science Park, Cambridge CB4 4WA, England under the Budapest Treaty on the International Recognition of the Deposit of Micro-organisms for the purposes of Patent Procedure at the National Collection of Industrial and Marine Bacteria (NCIMB), 23 St. Machar Street, Aberdeen, Scotland on 20th March 1997 under accession number NCIMB 40871. Tobacco plants cv Heavy Western were transformed with this construct using Agrobacterium mediated plant transformation in accordance with the method of Horsch et al (1985).

Regenerated transgenic plants were transferred to the greenhouse. Transgenic plants and non-transgenic controls were each infected with about 100 individuals of the root knot nematode Meloidogyne javanica. Eight weeks after infection the number of visible root knots and their size was determined. During this period the initial inoculum had the opportunity to infect, mature and produce a second generation of nematodes which in turn could infect the plant roots and mature.

Eight of the lowest scoring transgenic pBIN05002 plants were grown to seed. Progeny from parent plants were again screened for increased tolerance or resistance to M. javanica as described above. In addition to the pBIN05002 plants, progeny from plants transformed with pBIN05101 containing the KNT1 promoter linked to the glucuronidase marker gene (GUS) (Jefferson, R.A. et al 1987) and progeny from untransformed plants were included as controls for comparison. pBIN05101 was also deposited at the NCIMB on 20th March 1997 under accession number NCIMB 40870. Progeny from pBIN05002 plant line 32 showed a significant increase in the number of plants with low

gall scores as shown in Table 1. The results are significant in a Chi square analysis.

Table 1

Number of plants in low and high gall score categories for untransformed control plants, control pBIN05101 plants and pBIN05002 test plants.

Low gall score = 0 to 50 galls per plant.

High gall score = 51+ galls per plant.

Treatment	plants with low gall score	plants with high gall score
Untransformed plants	18	13
pBIN05101 control plants	13	17
pBIN05002 line 32 test plants	25	7

EXAMPLE 2

The overlap principle illustrated in the above example using a cell disruption system for increased specificity in nematode disruption can equally well be carried out in the flower of Arabidopsis, for example, or other plants to provide flowers with altered flower pattern or structure, for example, male sterility. This example utilises DNA sequences identified in Arabidopsis.

There are four elements of the flower (sepal, carpel, petal and stamen) which are postulated to be under the control of three genes (Coen, E.S. and Meyerowitz, E.M., 1991).

Altering the balance of these genes causes a variation in flower pattern. For example, both the gene agamous and apetala3 must be expressed in the same part of the flower to give rise to the male part of the plant, the stamen. Agamous is expressed both in the carpels and stamens, whilst apetala3 is expressed in both stamens and petals.

In order to make a construct following the overlap principle which is the subject of this invention, we require the promoter from one gene (e.g. agamous, active in carpels and stamens) linked to a disruptor of a second gene (e.g. apetala3, active in petals and stamens) to effect disruption in only the stamens.

A 435 basepair fragment of the agamous promoter was isolated from Argabidopsis thaliana DNA using the polymerase chain reaction with Taq and Taq-extender using the following two primers according to published procedures (Thomas, C., 1996):

Primer 1 (SEQ.ID. No. 1)

ATCGAAGCTT CTAAATGTAC TGAAAAGAAA CA

Primer 2 (SEQ.ID. No.2)

ACTGGGATCC GAAAATGGAA GGTAAGGTTG TGC

Primers were based on the sequence given in the Genbank DNA sequence entry ATAGAMSG for the genomic agamous sequence. Primer 1 contains an added HindIII restriction site at its 5' end. Primer 2 contains an added BamHI restriction site at its 5' end.

The following primers were designed from the Genbank sequence entry ATHAPETALA in order to amplify out part of the apetala3 gene sequence:

Primer 3 (SEQ.ID. No.3)

ATCGGGATCC ATGGGCTCAC GGTTTTGTGT GA

Primer 4 (SEQ.ID. No.4)

ATCGGAGCTC TTATTCAAGA AGATGGAAGG TAATGA

Primer 3 was specifically designed to begin amplification at position 992 of the published apetala3 sequence, which is a start codon in the wrong reading frame, to produce an active product and which avoids the initial part of the sequence that has strong homologies with other MADS box genes in the same gene family. Primer 3 also contained a one base pair change from the published sequence to remove an unwanted SacI restriction site. Primer 3 has a BamHI restriction site at its 5' end. Primer 4 has a SacI site at its 5' end. A 1586 bp fragment was amplified from Arabidopsis DNA using primers 3 & 4 using PCR.

The following cloning methods are familiar to any one skilled in the art and the results can be obtained by following the methods in Sambrook et al (1989). The agamous promoter fragment was ligated into a pBluescript derived vector (Stratagene Ltd., Cambridge, UK) as a HindIII-BamHI fragment. The altered apetala gene fragment was ligated downstream of the agamous promoter as a BamHI-SacI fragment in the same vector. The vector also contained a nos terminator sequence downstream of the altered apetala3 sequence as a SacI-EcoRI fragment. The vector was named pDVM37010. A second plasmid containing the

agamous promoter in front of the m-gfp-ER reporter gene obtained from Jim Haselhoff, MRC was made as a control and was named pDVM37003.

The promoter-gene fragment-terminator cassettes were excised from pDVM37010 (SEQ.ID. No.5) and pDVM37003 (SEQ.ID. No. 6) as NotI restriction fragments and ligated into a pBIN19 (Bevan, M. (1984)) derived vector pATC to give plasmids pATC37010 (map shown in Figure 3) and pATC37003 (map shown in Figure 4). These sequences could be cloned into any other equivalent vector which has suitable restriction sites therein, i.e. NotI at each end of the cassette. The map for pATC is shown in Figure 5. It has modified restriction sites compared with PBIN19. pATC37010 produces a co-suppression product under the control of the agamous promoter to inactivate apetala3 function in the developing stamens of the flower.

The plasmids were transferred into Agrobacterium tumefaciens host LBA4404 and used to transform Arabidopsis thaliana following the method of Bechtold et al (1993) and Nicotiana tabacum cv K326 using the method of Horsch et al (1985). 8 Arabidopsis plantlets transgenic for pATC37010 and 6 Arabidopsis plantlets transgenic for pATC37003 were obtained. Three sets of one hundred leaf discs were used for Nicotiana transformation for each construct. Transgenic callus growth was detected for all three sets.

The Arabidopsis plantlets were transferred into soil 10-14 days after germination and grown until flowering. The flowers exhibited no stamens, and double rose carpels.

The kanamycin resistant pATC37010 transgenic plants were further screened for the presence of the desired inserts by PCR with Taq polymerase using primers 1 and 4 following procedures known to one skilled in the art. The PCR was conducted for 40 cycles of incubation involving the steps of incubation at 94°C for 60 seconds, 60°C for 30 seconds and 72°C for 140 seconds. PCR positive samples were identified by visualisation of the PCR products upon agarose gel electrophoresis following procedures known to one skilled in the art.

The control pATC37003 transgenic plants were further screened for the presence of the desired inserts by PCR with Taq polymerase using primers 1 and primer 7 procedures known to one skilled in the art. The PCR was conducted for 40 cycles of incubation involving the steps of incubation at 94°C for 40 seconds, 60°C for 30 seconds and 72°C for 140 seconds. PCR positive samples were identified by visualisation of the PCR products upon agarose electrophoresis following procedures known to one skilled in the art.

Primer 7 (SEQ. ID No. 7)
GAACTGGGAC CACTCCAGTG

In both cases, transgenic plants containing the appropriate construct were identified.

REFERENCES

Bechtold, N., Ellis, J., and Pelletier, G. (1993) In planta Agrobacterium mediated gene transfer by infiltration of adult Arabidopsis thaliana plants. C.R. Acad. Sci: Paris 316: 1194-1199.

Bevan, M. (1984) Binary Agrobacterium vectors for plant transformation. Nuc. Acids Res. 12: 8711-8721.

Coen, E.S. and Meyerowitz, E.M. (1991). The war of the whorls: genetic interactions controlling flower development. Nature 353, 31-37.

Conkling, M.A., Cheng, C-L., Yamamoto, Y.T. and Goodman, H.M. (1990). Isolation of transcriptionally regulated root-specific genes from tobacco. Plant Physiology 93, 1203-1211.

Horsch, R.B., Fry, J.E., Hoffmann, N.L., Eichholtz, D., Rogers, S.G. and Fraley, R.T. (1985). A simple and general method for transferring genes into plants. Science 22, 1229-1231.

Jefferson, R.A., Kavanagh, T.A. and Bevan, M.W. (1987). GUS fusions: β -glucuronidase as a sensitive and versatile gene fusion marker in higher plants. EMBO, 6, 3901-3907

Opperman, C.H., Taylor, C.G. and Conkling, M.A. (1994). Root-knot nematode-directed expression of a plant root specific gene. Science 263, 221-223.

Sambrook, J., Fritsch, E.F. and Maniatis, T. (1989) Molecular Cloning - A Laboratory Manual. Second Edition, Cold Spring Harbour Laboratory Press, New York. Thomas, C. (1996) 'PCR Techniques' in 'Plant Gene Isolation: Principles and Practice'. G.D. Foster & D. Twell editors.

John Wiley & Sons Ltd., pp 331-368.

SEQUENCE LISTING

GENERAL INFORMATION (1) APPLICANTS: (i) · Advanced Technologies (Cambridge) Limited (A) NAME: Millbank, Knowle Green (B) STREET: Staines (C) CITY: (D) STATE: Middlesex (E) COUNTRY: England TW18 1DY (F) POSTAL CODE: Improvements Relating to the Specificity (ii)· TITLE OF INVENTION: of Gene Expression NUMBER OF SEQUENCES: (iii) **CORRESPONDENCE ADDRESS:** (iv) British-American Tobacco Company Limited (A) ADDRESSEE: Regents Park Road (B) STREET: Southampton (C) CITY: (D) STATE: Hampshire England (E) COUNTRY: SO15 8TL (F) POSTAL CODE: COMPUTER READABLE FORM: **(**v) Diskette 3.50 inch (A) MEDIUM TYPE: Viglen P5/75 (B) COMPUTER: MS-DOS Windows 3.1 (C) OPERATING SYSTEM: Microsoft Word 2.0 (D) SOFTWARE: **CURRENT APPLICATION DATA:** (vi) Not yet known (A) APPLICATION NUMBER: Not yet known (C) CLASSIFICATION: PRIOR APPLICATION DATA: (vii) (A) APPLICATION NUMBER: 9706381.2 27th March 1997 (B) FILING DATE: (viii) ATTORNEY/AGENT **INFORMATION:** Mrs. M.R. Walford/ Mr. K.J.H. MacLean (A) NAME: RD-ATC-17 (C) REFERENCE: (ix) TELECOMMUNICATION **INFORMATION:** 01703 777155

01703 779856

(A) TELEPHONE:

(B) TELEFAX:

SEQ. ID. NO:1:

INFORMATION FOR SEQ. ID. NO:1 (2) (i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 32 (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear MOLECULE TYPE: synthetic primer. (ii) (vi) ORIGINAL SOURCE: (A) ORGANISM: Arabidopsis thaliana FEATURE: (ix) Hind III restriction site (A) NAME: 5-10

ATCGAAGCTT CTAAATGTAC TGAAAAGAAA CA

(xi) SEQUENCE DESCRIPTION:

(B) LOCATION:

32

(2)	INFORMA	CTION .	FOR SEQ	. ID. NO:2
• •		•		

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH:

33

(B) TYPE:

nucleic acid

(C) STRANDEDNESS:

single

(D) TOPOLOGY:

linear

(ii) MOLECULE TYPE:

synthetic primer

(vi) ORIGINAL SOURCE:

(A) ORGANISM:

(B) LOCATION:

Arabidopsis thaliana

(iv) ANTISENSE:

yes

(ix) FEATURE:

(A) NAME:

Bam HI restriction site

5-10

(xi) SEQUENCE DESCRIPTION:

SEQ. ID. NO:2:

ACTGGGATCC GAAAATGGAA GGTAAGGTTG TGC

3:

(2) INFORMATION FOR SEQ. ID. NO:3

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH:

32

(B) TYPE

nucleic acid

(C) STRANDEDNESS:

single

(D) TOPOLOGY:

linear

(ii) MOLECULE TYPE:

synthetic primer

(ix) FEATURE:

(A) NAME:

Bam HI restriction site

5-10

(ix) FEATURE:

(A) NAME:

start codon

(B) LOCATION:

(B) LOCATION:

(B) LOCATION:

11-13

(ix) FEATURE:

(A) NAME:

deliberate base change from A to G

14

(xi) SEQUENCE DESCRIPTION:

SEQ. ID. NO: 3:

32

ATCGGGATCC ATGGGCTCAC GGTTTTGTGT GA

(2) INFORMATION FOR S	SEQ. ID. NO:4
-----------------------	---------------

- (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH:

36

(B) TYPE

nucleic acid

(C) STRANDEDNESS:

single

(D) TOPOLOGY:

linear

(ii) MOLECULE TYPE:

synthetic primer

(iv) ANTISENSE:

yes

(ix) FEATURE:

(A) NAME:

Sac I restriction site

: (B) LOCATION:

5-10

(xi) SEQUENCE DESCRIPTION:

SEQ. ID. NO: 4:

ATCGGAGCTC TTATTCAAGA AGATGGAAGG TAATGA

36

WO 98/44138

(2)		INFORMATION FOR SEQ. ID. NO:5	
	(i)	SEQUENCE CHARACTERISTICS: (A) LENGTH: (B) TYPE (C) STRANDEDNESS: (D) TOPOLOGY:	2319 nucleic acid double linear in source circular in plasmid
	(ii)	MOLECULE TYPE:	plasmid DNA
	(vi)	ORIGINAL SOURCE: (A) ORGANISM: (B) STRAIN:	Arabidopsis thaliana Landsberg
	(ix)	FEATURE: (A) NAME/KEY: (B) LOCATION:	promoter - Arabidopsis agamous (Genbank ATAGAMSG) 26-441
	(ix)	FEATURE: (A) NAME/KEY: (B) LOCATION:	coding sequence - Arabidopsis apetala3 (Genbank ATHPETALA) 448-2013
	(ix)	FEATURE: (A) NAME/KEY: (B) LOCATION:	Nos terminator 2020-2286
	(xi)	SEQUENCE DESCRIPTION:	SEQ. ID. NO: 5:

	,					
GCGGCCGCGA	TATCGTCGAC	AAGCTTCTAA	ATGTACTGAA	AAGAAACACC	AGTTTAATTA	60
ATTATACTTT	CCTCACATAT	AACTATCAAC	CAAGTACAAA	ACTTTTGTCA	ATTCTCAAAA	120
TCAACTTTCA	CCACATAATT	ATCTAACATG	TGTATGTTCC	AAAACCAGTT	TAAATGAATT	180
ACTTTTCAGA	AAATACATGT	ATATTAACTC	TATCTAATAA	AGAAGAAACA	CATACTTATC	240
TCATAGATTC	CATTCATAÁA	ACTATGCTTT	AGTGAGTAAG	AAAACCAGTA	ATCAAACACA	300
AATTGACAAG	ACACTATATG	GATGTAAAAA	-GTGGGGAAAA	TATGGTGATA	AATAGTAGAG	360
AAAATTAAAA	AGAAAAAATA	ATATTCCTTT	ATAAATGTAT	ATACCCATCT	CTTCACCAGC	420
ACAACCTTAC	CTTCCATTTT	CGGATCCATG	GGCTCACGGT	TTTGTGTGAT	GCTAGGGTTT	480
CGATTATCAT	GTTCTCTAGC	TCCAACAAGC	TTCATGAGTA	TATCAGCCCT	AACACCACGT	540
ACACCATCTC	TCTAAACACC	ACTCTTAAAT	TAAGCTAATT	GAGTTGCTTT	GTTTTCTTAT	600
AATTAACCAC	TACTTTTTTG	GTGATTTTGT	TGGTTATAGA	ACGAAGGAGA	TCGTAGATCT	660
GTACCAAACT	ATTTCTGATG	TCGATGTTTG	GGCCACTCAA	TATGAGGTTT	TTTTCCTTCT	720
TAGATCTTTC	TTCTTCTTCT	TGATATGTGT	TTCGCTGGTT	GGTTAAATTC	TTGATGCGTT	780
TTGCTGCAGC	GAATGCAAGA	AACCAAGAGG	AAACTGTTGG	AGACAAATAG	AAATCTCCGG	840

ACTCAGATCA	AGTATTTGTT	TCTTCTCTCT	TCTCTTAGAT	GAGGAGTTTT	ACTAAAAAA	900
ATGAGTACGG	AAATATACAT	ATTTTTAAAA	TTGTAGGCAG	AGGCTAGGTG	AGTGTTTGGA	960
CGAGCTTGAC	ATTCAGGAGC	TGCGTCGTCT	TGAGGATGAA	ATGGAAAACA	CTTTCAAACT	1020
CGTTCGCGAG	CGCAAGGTTC	TCTTCATACT	TTTCCCTTAC	CTAGGGTTTC	AATTAATCCT	1080
ATATACCCAA	GCTTCAGTTT	TGAATTGAAT	TATTAAAAAA	TGAATTTTAT	TGTTGTATAT	1140
ATGTTTTAGA	AAAAGAAACA	TTTTGTTTAC	TGTTGGATAA	TATATGTTAA	TTGTATTGTA	1200
CTGTACAGTT	CAAATCTCTT	GGGAATCAGA	TCGAGACCAC	CAAGAAAAAG	GTCACATCTT	1260
CTATGTCCAC	TCACTTTTCC.	ATTTTATCAT	ATTTATTTGT	CTCAACAATT	TTGTGACAAT	1320
TGAATTTATC	AACTTACTAA	AACTGTTGAT	AACACTTTTC	TTGGACAATT	ATATTTGTGT	1380
GTGTGTGTGT	GTGTGTTTAA	GCTAATGGAT	AAAGAAAATA	CCAAGTATAC	TATATAGTGA	1440
TGTCATAATA	ACTTGGGTAT	ATATCTTCAT	AATTTTTTTG	GGTGGGAATA	TTTCTTCATA	1500
ATTTCTCTTG	TGGTTTACAC	AATTGCAGAA	CAAAAGTCAA	CAGGACATAC	AAAAGAATCT	1560
CATACATGAG	CTGGTAATAT	CTCTTTCTGT	TTTTCTCAAA	TGTTGGTTTA	GGCATAATAC	1620
ATTCATGGAA	TACGGAGCCA	GTTAAAAAGA	TATCTAGAAA	TGTAGTGTAG	ATTGATCAGT	1680
CACTCTTATG	TTTTCTTGTG	ATTCTCTTAT	CGAAATATCT	CCTAGTTAAA	TCATATATCA	1740
AATGTCATGT	CATTTCGAAT	TAATAATATT	GGTTTTAGTT	ATGTGGAATA	TGGCTTAAAA	1800
CATGTTTTGG	TGAATTAGGA	ACTAAGAGCT	GAAGATCCTC	ACTATGGACT	AGTAGACAAT	1860
GGAGGAGATT	ACGACTCAGT	TCTTGGATAC	CAAATCGAAG	GGTCACGTCG	TTACGCTCTT	1920
CGTTTCCACC	AGAACCATCA	CCACTATTAC	CCCAACCATG	GCCTTCATGC	ACCCTCTGCC	1980
TCTGACATCA	TTACCTTCCA	TCTTCTTGAA	TAAGAGCTCG	AATTTCACCC	GATCGTTCAA	2040
ACATTTGGCA	ATAAAGTTTC	TTAAGATTGA	ATCCTGTTGC	CGGTCTTGCG	ATGATTATCA	2100
TATAATTTCT	GTTGAATTAC	GTTAAGCATG	TAATAATTAA	CATGTAATGC	ATGACGTTAT	2160
TTATGAGATG	GGTTTTTATG	ATTAGAGTCC	CGCAATTATA	CATTTAATAC	GCGATAGAAA	2220
ACAAAATTAT	GCGCGCAAAC	TAGGATAAAT	TATCGCGCGC	GGTGTCATCT	ATGTTACTAG	2280
ATCGGGAATT	CTGTTTAAAC	TCGAGACTAG	TGCGGCCGC			2319

(i)	SEQUENCE CHARACTERISTIC	S:
• •	(A) LENGTH:	1559
	(B) TYPE	nucleic acid
	(C) STRANDEDNESS:	double
,	(D) TOPOLOGY:	linear in source
		circular in plasmid

(ii) MOLECULE TYPE: plasmid DNA

(ix) FEATURE:

(A) NAME/KEY: promoter - Arabidopsis agamous (Genbank ATAGAMSG)

(B) LOCATION: 26-441

(ix) FEATURE:

(A) NAME/KEY: coding sequence - green fluorescent protein

(B) LOCATION: 443-1258

(ix) FEATURE:
(A) NAME/KEY: Nos terminator
(B) LOCATION: 1260-1526

(xi) SEQUENCE DESCRIPTION: SEQ. ID. NO: 6:

GCGGCCGCGA TATCGTCGAC AAGCTTCTAA ATGTACTGAA AAGAAACACC AGTTTAATTA 60 ATTATACTTT CCTCACATAT AACTATCAAC CAAGTACAAA ACTTTTGTCA ATTCTCAAAA 120 TCAACTTTCA CCACATAATT ATCTAACATG TGTATGTTCC AAAACCAGTT TAAATGAATT 180 240 ACTITICAGA AAATACATGI ATATTAACIC TATCTAATAA AGAAGAAACA CATACITATC 300 TCATAGATTC CATTCATAAA ACTATGCTTT AGTGAGTAAG AAAACCAGTA ATCAAACACA 360 AATTGACAAG ACACTATATG GATGTAAAAA GTGGGGAAAA TATGGTGATA AATAGTAGAG AAAATTAAAA AGAAAAAATA ATATTCCTTT ATAAATGTAT ATACCCATCT CTTCACCAGC 420 ACAACCTTAC CTTCCATTTT CGGATCCAAG GAGATATAAC AATGAAGACT AATCTTTTTC 480 TCTTTCTCAT CTTTTCACTT CTCCTATCAT TATCCTCGGC CGAATTCAGT AAAGGAGAAG 540 AACTTTTCAC TGGAGTTGTC CCAATTCTTG TTGAATTAGA TGGTGATGTT AATGGGCACA 600 AATTITCTGT CAGTGGAGAG GGTGAAGGTG ATGCAACATA CGGAAAACTT ACCCTTAAAT 660 720 TTATTTGCAC TACTGGAAAA CTACCTGTTC CATGGCCAAC ACTTGTCACT ACTTTCTCTT ATGGTGTTCA ATGCTTTTCA AGATACCCAG ATCATATGAA GCGGCACGAC TTCTTCAAGA 780 GCGCCATGCC TGAGGGATAC GTGCAGGAGA GGACCATCTT CTTCAAGGAC GACGGGAACT 840 ACAAGACACG TGCTGAAGTC AAGTTTGAGG GAGACACCCT CGTCAACAGG ATCGAGCTTA 900 960 AGGGAATCGA TTTCAAGGAG GACGGAAACA TCCTCGGCCA CAAGTTGGAA TACAACTACA ACTCCCACAA CGTATACATC ATGGCCGACA AGCAAAAGAA CGGCATCAAA GCCAACTTCA 1020 AGACCCGCCA CAACATCGAA GACGGCGGCG TGCAACTAGC TGATCATTAT CAACAAAATA 1080

CTCCAATTGG	CGATGGCCCT	GTCCTTTTAC	CAGACAACCA	TTACCTGTCC	ACACAATCTG	1140
CCCTTTCGAA	AGATCCCAAC	GAAAAGAGAG	ACCACATGGT	CCTTCTTGAG	TTTGTAACAG	1200
CTGCTGGGAT	TACACATGGC	ATGGATGAAC	TATACAAACA	TGATGAGCTT	TAAGAGCTCG	1260
AATTTCACCC	GATCGTTCAA	ACATTTGGCA	ATAAAGTTTC	TTAAGATTGA	ATCCTGTTGC	1320
CGGTCTTGCG	ATGATTATCA	TATAATTTCT	GTTGAATTAC	GTTAAGCATG	TAATAATTAA	1380
CATGTAATGC	ATGACGTTAT	TTATGAGATG	GGTTTTTATG	ATTAGAGTCC	CGCAATTATA	1440
CATTTAATAC	GCGATAGAAA	ACAAAATTAT	GCGCGCAAAC	TAGGATAAAT	TATCGCGCGC	1500
GGTGTCATCT	ATGTTACTAG	ATCGGGAATT	CTGTTTAAAC	TCGAGACTAG	TGCGGCCGC	1559

20

(2) INFORMATION FOR SEQ. ID. NO:7

(i) SEQUENCE CHARACTER	USTICS:
------------------------	---------

(A) LENGTH:

(B) TYPE nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: synthetic primer

(iii) ORIGINAL SOURCE

(A) ORGANISM: Aequorea victoria

(iv) ANTISENSE yes

(xi) SEQUENCE DESCRIPTION: SEQ. ID. NO: 7

GAACTGGGAC CACTCCAGTG 20

	· · · · · · · · · · · · · · · · · · ·
International	Application **
Applicant's or agent's file	
RD-ATC-17	
reference number RD-A1C-17	

INDICATIONS RELATING TO A DEPOSITED MICROORGANISM

(PCT Rule 13bis)

· · _ · _ · _ · _ · _ · _ · _ · _ ·	
A. The indications made below relate to the microorganism relate on page 11 line 27	Ferred to in the description
B. IDENTIFICATION OF DEPOSIT	Further deposits are identified on an additional shoet
Name of depositary institution	
The National Collections for Industrial and Marine Bacteria Li	mited (NCIMB)
Address of depositury institution (including postal code and country)	
23 St. Machar Drive Aberdeen AB2 1RY Scotland, United Kingdom	
Date of deposit Acce	ssion Number
20/03/97	NCIMB 40870
C. ADDITIONAL INDICATIONS(leave blank if not applicable)	This information is continued on additional sheet .
Name and address of Depositor: Advanced Technologies Cambridge CB4 4 D. DESIGNATED STATES FOR WHICH INDICATION	WA, United Kingdom
D. DESIGNATED STATES TO THE STATES OF THE ST	-
E. SEPARATE FURNISHING OF INDICATIONS (leaf blue	
The indications listed below will be submitted to the International Bureau later Number of Deposit")	(specify the general nature of the indications e.g., "Accession
·	
For receiving Office use only	For receiving Office use only
This sheet was secrived with the property and application	This sheet was received by the international Bureau on:
Authorized officer Hubass	Authorized officer

Form PG/RO/134 (July 1992)

Advanced Technologies (Cambridge) Ltd., 210 Cambridge Science Park, Cambridge. CB4 4WA RECOGNITION OF THE TEPGSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

INTERNATIONAL FORM

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page

I. IDENTIFICATION OF THE HICROORGANISH		
Identification reference given by the DEPOSITOR:	Accession number given by the international Depositary authority:	
Agrobacterium tumefaciens C58 pBINO5101	NCIMB 40870	
II. SCIENTIFIC DESCRIPTION AND/OR PROPOSE	D TAXONOHIC DESIGNATION	
The microorganism identified under I above	was accompanied by:	
a scientific description		
X a proposed taxonomic designation		
(Mark with a cross where applicable)		
III. RECEIPT AND ACCEPTANCE		
This International Depositary Authority accepts the microorganism identified under I above, which was received by it on 20 March 1997(date of the original deposit)		
IV. RECEIPT OF REQUEST FOR CONVERSION		
The microorganism identified under I above was received by this International Depositary Authority on (date of the original deposit) and a request to convert the original deposit to a deposit under the Budapest Treaty was received by it on (date of receipt of request for conversion)		
V. INTERNATIONAL DEPOSITARY AUTHORITY		
NCIMB Ltd	Signature(s) of person(s) having the power to represent the International Depositary Authority or of authorised official(s):	
Aberdeen Scotland Address: UK AB2 1RY	Date: 31 March 1997 Terence Durch	

Where Rule 6.4(d) applies, such date is the date on which the status of international depositary authority was acquired.

Form BP/4 (sole page)

Advanced Technologies (Cambridge) Ltd., 210 Cambridge Science Park, Cambridge. CB4 4WA RECOGNITION OF THE PEPOSIT OF MICROGREAMISMS FOR THE PURPOSES OF PATENT PROCEDURE

INTERNATIONAL FORM

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page

1. IDENTIFICATION OF THE HICROORGANISH	
	esion number given by the RNATIONAL DEPOSITARY AUTHORITY:
Agrobacterium tumefaciens NC C58 pBINO5101	IMB 40870
11. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXON	DHIC DESIGNATION
The microorganism identified under I above was ac	companied by:
a.scientific description	
X a proposed taxonomic designation	
(Mark with a cross where applicable)	·
III. RECEIPT AND ACCEPTANCE	
This International Depositary Authority accepts the microorganism identified under I above, which was received by it on 20 March 1997(date of the original deposit).	
IV. RECEIPT OF REQUEST FOR CONVERSION	
The microorganism identified under I above was received by this International Depositary Authority on (date of the original deposit) and a request to convert the original deposit to a deposit under the Budapest Treaty was received by it on (date of receipt of request for conversion)	
V. INTERNATIONAL DEPOSITANT AUTHORITY	
NCHIB Ltd to	nature(s) of person(s) having the power represent the International Depositary nority or of authorized official(s):
Aberdsen Scotland Date	31 March 1997 Terence Dancis

Where Rule 6.4(d) applies, such date is the date on which the status of international depositary authority was acquired.

Form BP/4 (sole page)

\$.

BUDATEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEFOSIT OF MICRORGANISMS FOR THE PURPOSES OF FATERY PROCESURE

Advanced Technology (Cambridge) Ltd. | INTERNATIONAL FORM 210 Cambridge Science Park, Cambridge. CB4 4WA

VIABILITY STATEMENT issued pursuant to Rule 10.2 by the INTERNATIONAL DEPOSITARY AUTHORITY identified on the following page

MAME AND ADDRESS OF THE PARTY TO WHOM THE VIABILITY STATEMENT IS ISSUED

DEPOSITOR	II. IDENTIFICATION OF THE MICROORGANISM
Name: AS ABOVE Address:	Accession number given by the INTERNATIONAL DEPOSITARY AUTHORITY: NCIMB 40870 Date of the deposit or of the transfer:
	20 March 1997
The viability of the microorganism identify on 26 March 1997	ed under II above was tested 2. On that date, the said microorganism was
X viable	
no longer viable	

- Indicate the date of the original deposit or, where a new deposit or a transfer has been made, the most recent relevant date (date of the new deposit or date of the transfer).
- In the cases referred to in Rule 10.2(a)(ii) and (iii), refer to the most recent viability
- Mark with a cross the applicable box.

Form 3P/9 (first page)

-5

<u>.</u>

INTERNATIONAL DEPOSITARY AUTHORITY

_me: Address:

NCIMB Ltd 23 St Maches Drive Aberdeen Scotland UK AGZ 1RY

. IV. CONDUCTIONS WHOSE WHICH THE VIRGILITY TEST HAS SEEN PERFORMED."

Signature(s) of person(s) having the power to represent the International Depositary Authority or of authorited official(s):

Date: 31 March 1997

4 Fill in if the information has been requested and if the results of the test were negative.

Applicant's or agent's file		International Application No.	
reference number	RD-ATC-17	·	

INDICATIONS RELATING TO A DEPOSITED MICROORGANISM

(PC	CT Rule 13bis)
A. The indications made below relate to the microorganis	sm referred to in the description
on page, line,	<u>6</u>
B. IDENTIFICATION OF DEPOSIT	Further deposits are identified on an additional sheet
Name of depositary institution	
The National Collections for Industrial and Marine Bacter	ria Limited (NCIMB)
Address of depositary institution (including postal code and country)	
23 St. Machar Drive	•
Aberdeen AB2 1RY	
Scotland, United Kingdom	
Date of deposit	Accession Number
20/03/97	NCIMB 40871
20/03/37	
C. ADDITIONAL INDICATIONS (leave blank if not applica	able) This information is continued on additional sheet
C. ADDITIONAL INDICATIONS (1984 Dunit 1) TO Applica	
	logies (Cambridge) Limited, 210 Cambridge Science Park, B4 4WA, United Kingdom
D. DESIGNATED STATES FOR WHICH INDICAT	TIONS ARE MADE (if the indications are not for all designated States)
	·
E. SEPARATE FURNISHING OF INDICATIONS (10)	raf blank if not applicable)
The indications listed below will be submitted to the International Bureau Number of Deposit*)	later (specify the general nature of the indications e.g., "Accession
, , ,	
For receiving Office use only	For receiving Office use only
	— — · · · · · · · · · · · · · · · · · ·
This sheet was progressed with Agare this onal app 1998	This sheet was received by the international Bureau on:
Authorized officer	Authorized officer
T Hiscory	

Form PG/RO/134 (July 1992)

SUBSTITUTE SHEET (RULE 26)

Advanced Technologies (Cambridge) Ltd., 210 Cambridge Science Park, Cambridge. CB4 4WA RECOGNITION OF THE TEPOSIT OF MICROURGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

INTERNATIONAL FORM

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page

1. IDENTIFICATION OF THE MICROORGANISM	
Identification reference given by the DEPOSITOR:	Accession number given by the INTERNATIONAL DEPOSITARY AUTHORITY:
Agrobacterium tumefaciens C58 pBINO5002	NCIMB 40871
11. SCIENTIFIC DESCRIPTION AND/OR PROPOSED	TAXONOMIC DESIGNATION
The microorganism identified under I above	was accompanied by:
a scientific description	
X a proposed taxonomic designation	
(Mark with a cross where applicable)	,
III. RECEIPT AND ACCEPTANCE	
This International Depositary Authority accepts the microorganism identified under I above, which was received by it on 20 March 1997(date of the original deposit).	
IV. RECEIPT OF REQUEST FOR CONVERSION	
The microorganism identified under I above was received by this International Depositary Authority on (date of the original deposit) and a request to convert the original deposit to a deposit under the Budapest Treaty was received by it on (date of receipt of request for conversion)	
V. INTERNATIONAL DEPOSITARY AUTHORITY	
NCIMB Ltd	Signature(s) of person(s) having the power to represent the International Depositary Authority or of authorised official(s):
Address: Aberdeen Scotland	Date: 31 March 1997 Tevence David

Where Ruls 6.4(d) applies, such date is the date on which the status of international depositary authority was acquired.

Form BP/4 (sole page)

BUDAPEST TREATH ON THE INTERNATIONAL RECOGNITION OF THE DEFOSIT OF MICROGRAMISMS FOR THE PURPOSES OF PATENT PROCEDURE

Advanced Technology (Cambridge) Ltd. INTERNATIONAL FORM 210 Cambridge Science Park, Cambridge. CB4 4WA

VIABILITY STATEMENT issued pursuant to Rule 10.2 by the INTERNATIONAL DEFOSITARY AUTHORITY identified on the following page

HAME AND ADDRESS OF THE PARTY TO WHOM THE VIABILITY STATEMENT IS ISSUED

	II. IDENTIFICATION OF THE MICROORGANISM
I DEPOSITOR	
Name:	Accession number given by the INTERNATIONAL DEPOSITANY AUTHORITY:
. AS ABOVE	NCIMB 40871
Address:	Date of the deposit or of the transfer:
	20 March 1997
III. VIABILITY STATEMENT	that water TT shows use regred
The viability of the microorganism ide on 26 March 1997	2. On that date, the said microorganism was
X viable	
no longer viable	

- Indicate the date of the original deposit or, where a new deposit or a transfer has been made, the most recent relevant date (date of the new deposit or date of the transfer).
- In the cases referred to in Rule 10.2(a)(ii) and (iii), refer to the most recent viability test.
- Mark with a cross the applicable box.

Form 3P/9 (first page)

===.	CONCITIONS	CHEER WHICH	THE STABILITY	TEET HAS	BEIN P	ESECRMED :		:
			•				. •	
				· ,				
								•
	•		2V ALTHORITY					<u>-</u> .
	Address: 23 St Meches Drive Aberdeen Bootland				to re		ernational Depo prized official renci Do	sitary

⁴ million is the testivered has been requested and if the results of the test were negative.

37

CLAIMS

- A method of improving the specificity of gene regulation 1. in a transformed organism, the method comprising the steps of identifying an endogenous target gene in an organism, determining the location of more than one expression sites of the target gene, creating a chimaeric gene comprising a promoter which causes gene expression at at least two expression sites in an organism, including expression at one of the expression sites of the target gene, and an agent being a nucleic acid sequence which regulates expression of the target gene or a product thereof, stably incorporating the chimaeric gene into a cell of the organism by genetic transformation, and regenerating an organism from the transformed cell, which transformed organism contains the chimaeric gene, the expression of which gene in the organism causes the target gene or a product thereof to be regulated at at least one specific expression site in the transformed organism or progeny thereof.
- 2. A method according to Claim 1, wherein said nucleic acid sequence is a coding or a non-coding sequence.
- 3. A method according to Claim 1 or 2, wherein the expression of said target gene is up regulated.
- 4. A method according to Claim 1 or 2, wherein the expression of said target gene is down regulated.
- 5. A method according to Claims 1, 2, 3 or 4, wherein said organism is a plant.

- 6. A method according to any one of Claims 1 to 4, wherein said nucleic acid sequence is in antisense orientation.
- 7. A method according to Claim 1, 2 or 4, wherein said nucleic acid is in sense orientation.
- 8. A method according to Claim 1, 2 or 3, wherein said nucleic acid is in sense orientation.
- 9. A method according to Claim 3, wherein up regulation of said target gene occurs from the introduction of an activator of the promoter of said target gene.
- 10. A method according to any one of the preceding claims, wherein said agent of said chimaeric gene comprises one or more nucleic acid sequences, each of which sequences, when expressed, carries out a particular function.
- 11. A method according to any one of the preceding claims, wherein the promoter of said chimaeric gene is expressed in more than one overlapping expression site of said endogenous gene.
- 12. A method according to any one of the preceding claims, wherein said promoter is the KNT1 promoter or the RB7 promoter.
- 13. A method according to any one of Claims 1-11, wherein said promoter is caused to express by other agents acting on them.
- 14. A method according to Claim 1-12, wherein said nucleic acid sequence is the or a part of the antisense sequence of the RB7 or KNT1 promoter or coding sequence thereof.
- 15. A method according to any one of the Claims 1-11, wherein said nucleic acid sequence is one or more of a ribozyme, a SUBSTITUTE SHEET (RULE 26)

- targeted RNase to degrade messenger RNA, or a stabilising or destabilising agent of a specific RNA.
- 16. A method according to any one of Claims 1-11 and 13, wherein said nucleic acid comprises an activator of transcription.
- 17. A method according to Claim 16, wherein said activator of transcription is a heat shock factor encoding a protein.
- 18. A method according to any one of the preceding claims, wherein the mechanism whereby said agent acts on said gene is any one or more of said mechanisms numbered 1-11 hereinabove.
- 19. A construct deposited under accession number NCIMB 40871.
- 20. A construct comprising the plasmid pATC 37010 or a promoter-gene fragment-terminator cassette such as that contained in that plasmid.
- 21. Plants and propagules thereof transformed by the method according to any one of Claims 1-18.

\$

Fig.1.

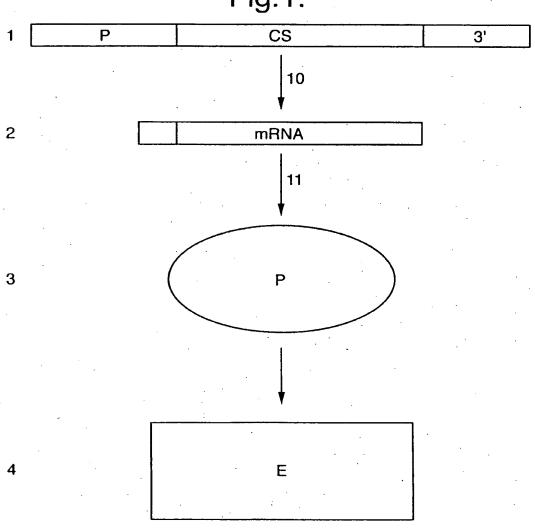
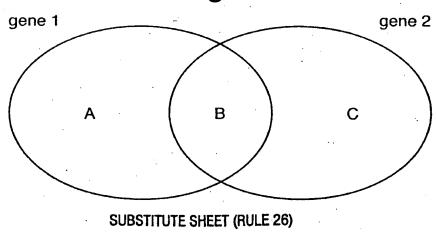
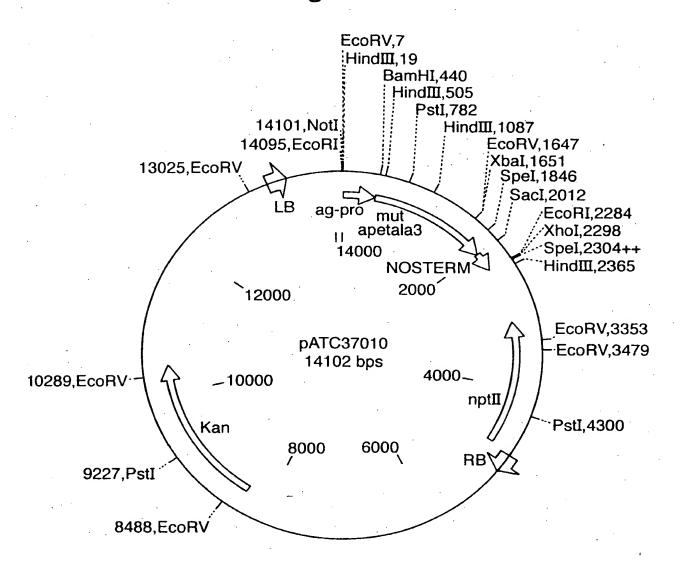


Fig.2.

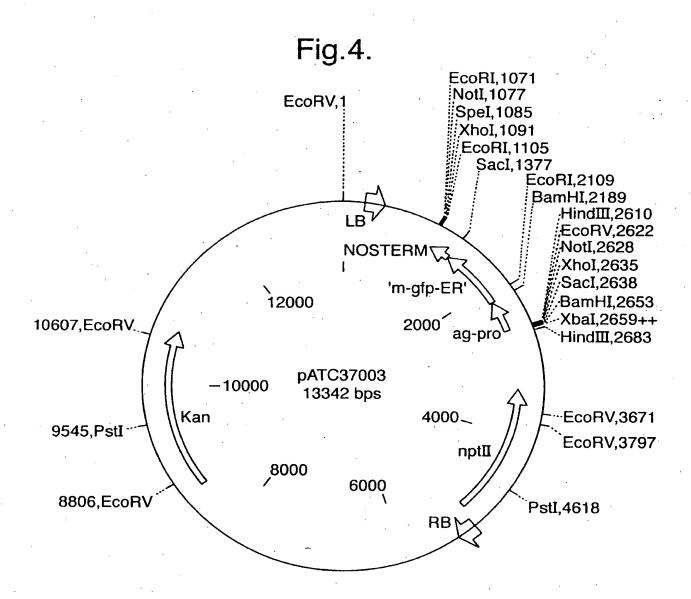


\$.

Fig.3.

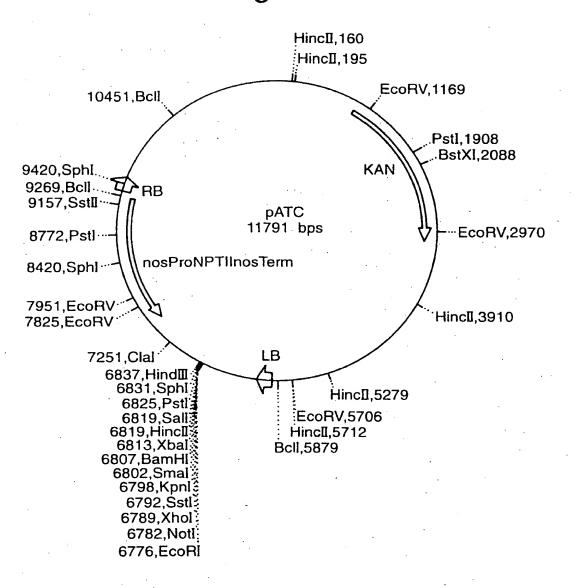


.5:



SUBSTITUTE SHEET (RULE 26)

Fig.5.



INTERNATIONAL SEARCH REPORT

In .tional Application No PCT/GB 98/00939

		<u></u>	
A. CLASSI IPC 6	FICATION OF SUBJECT MATTER C12N15/82 C12N15/11 A01H5/00		·
According to	. International Patent Classification/IPC) or to both antional classification	ion and IPC	
	o International Patent Classification (IPC) or to both national classificat	ior and if C	
	SEARCHED cumentation searched (classification system followed by classification	e sombols)	
IPC 6	C12N A01H		
Documental	ion searched other than minimumdocumentation to the extent that su	ch documents are included in the heids searc	ea
Electronic d	ata base consufted during the international search (name of data base	e and, where practical, search terms used)	,
	•		
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant	vant passages	Relevant to claim No.
X	WO 94 10320 A (MOGEN INT ;SIJMONS		1,2,4-6,
	CHRISTIAAN (NL); GODDIJN OSCAR JO 11 May 1994	HANNES M)	10-13, 15,18,21
•	* see the whole document, esp. p.	3 1 7-12	15,16,21
	* see the whole document, esp. p.	3 1.7 12	
			•
X	WO 97 04113 A (DANISCO ;POULSEN P	ETER	1,2,4-6,
	(DK)) 6 February 1997		8,10,11,
			13,15,
		}	18,21
	* see esp. p.1 - p.14 1.14 *		
Α	WO 93 10251 A (MOGEN INT) 27 May	1993	1-21
	see the whole document	·	•
Α	WO 95 25787 A (UNIV RUTGERS ;MALI	CA PAI	1-21
п	(US)) 28 September 1995		1 41
	see the whole document		
			,
	-	-/	
X Furt	her documents are listed in the continuation of box C.	χ Patent family members are listed in	annex.
° Special ca	tegories of cited documents:	"T" later document published after the interna	ational filing date
"A" docume	ent defining the general state of the art which is not	or priority date and not in conflict with the	e application but
consid	lered to be of particular relevance	cited to understand the principle or theo invention	ry unceriying the
"E" earlier o	document but published on or after the international	"X" document of particular relevance; the cla cannot be considered novel or cannot b	imed invention e considered to
	ent which may throw doubts on priority claim(s) or is cited to establish the publication date of another	involve an Inventive step when the docu	iment is taken alone
citatio	n or other special reason (as specified)	"Y" document of particular relevance; the cla cannot be considered to involve an inve	ntive step when the
other	ent referring to an oral disclosure, use, exhibition or means	document is combined with one or more ments, such combination being obvious	
	ent published prior to the international fillng date but nan the pnority date claimed	in the art. "&" document member of the same patent fa	míly - :
Date of the	actual completion of theinternational search	Date of mailing of the international searce	h report
3	0 June 1998	10/07/1998	
Name and	mailing address of the ISA	Authorized officer	
	European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk		
	Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,	Kania T	•

1

INTERNATIONAL SEARCH REPORT

In Atlonal Application No PCT/GB 98/00939

Category '	ation) DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages	·	Relevant to claim No.
Juligory		1-21	
r	WO 97 30162 A (FORBIO RESEARCH PTY LTD; TEASDALE ROBERT DIXON (AU); MOURADOV AIDY) 21 August 1997 see the whole document		
			·
*	· '		
*			
	*		
. "			
-	·		
	·		
		·.	
	. *		
		·	
			•
•			

1

INTERNATIONAL SEARCH REPORT

Information on patent family members

PCT/GB 98/00939

Patent document cited in search report		t	Publication date		atent family nember(s)	Publication date	
WO 9	410320	Α	11-05-1994	AU	5420594 A	24-05-1994	
				CA	2148451 A	11-05-1994	
				EP	0666922 A	16-08-1995	
WO 9	704113	A	06-02-1997	AU	6614696 A	18-02-1997	
	•			. EP	0839203 A	06-05-1998	
WO 9:	 310251	 А	27 - 05-1993	AU	2928492 A	15-06-1993	
				CA	2121578 A	27-05-1993	
				EP	0668921 A	30-08-1995	
				HU	70264 A	28-09-1995	
				JP	7500970 T	02-02-1995	
WO 9!	 525787	A A	28-09-1995	 US	5530191 A	25-06-1996	
		,		AU	687544 B	26-02-1998	
				ΑU	2198195 A	09-10-1995	
				BR	9507180 A	14-10-1997	
				. CA	2186164 A	28-09-1995	
				CN	1148869 A	30-04-1997	
				EP	0805852 A	12-11-1997	
				JP	9510615 T	28-10-1997	
	:			PL	316435 A	06-01-1997	
WO 9	 730162	A	21-08-1997	AU	1713297 A	02-09-1997	

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.